

Date: 08/11/2023

To,
National Stock Exchange of India Limited
Listing & Compliance Department
Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra-Kurla Complex, Bandra
Mumbai - 400 051 India

Company Symbol: RCDL

<u>Subject: Non-applicability and Non requirement of certain Compliances of various SEBI Regulations.</u>

Dear Sir/Ma'am.

With reference to the above captioned subject, we would like to inform you that the Rajgor Castor Derivatives Limited ("the Company") got listed on NSE EMERGE Platform on 31st October, 2023 i.e. after the closing of the Quarter/ Half year ended on 30th September, 2023.

Accordingly, the Company is not required to comply with the requirements under the below mentioned regulations for the Quarter/ Half year ended 30th September, 2023:

- 1. Statement of Deviation or Variation pursuant to Regulation 32(8) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- 2. Statement of Grievance Redressal Mechanism pursuant to Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- 3. Statement showing holding of securities and shareholding pattern pursuant to 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- 4. Reconciliation of Share Capital Audit Report pursuant to the provisions of regulation 76 of SEBI (Depositories and Participants) Regulations, 2018;
- 5. SDD Compliance Certificate pursuant to NSE Circular Ref. No. NSE/CML/25 dated March 29, 2023

RAJGOR CASTOR DERIVATIVES LIMITED

(Previously known as Rajgor Castor Derivatives Private Limited) CIN: U74995GJ2018PLC102810



Further, also note that pursuant to regulation 15(2)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 the compliance with the Corporate Governance provisions as specified in regulations 17,17A,18, 19, 20, 21,22, 23, 24, 24A, 25, 26, 27 and clauses (b) to (i) and (t) of sub-regulation (2) of regulation 46 and para C, D and E of Schedule V shall not apply, in respect of the listed entity which has listed its specified securities on the SME Exchange, therefore, Corporate Governance provisions are not applicable to the Company.

Kindly note that the Company shall continue to comply with the listing compliances as and when applicable for the Periodically, Quarterly, Half yearly and yearly basis.

You are requested to take the same on your record.

Yours Faithfully, For, Rajgor Castor Derivatives Limited

Brijeshkumar V Rajgor Managing Director DIN: 08156363

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